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COMPANY: United States Patent & Trademark Office
FROM: Frank C. Eissenschek, Ph.D.
DATE: April 4, 2007
FAX NO.: (571)273-6500
NO. OF PAGES (INCLUDING COVER SHEET): 5
SUBJECT/MESSAGE:

Re: U.S. Patent Application Docket No. G-090US04D1V
SURFACE ADSORBING POLYMERS AND THE USES THEREOF TO
TREAT HYDROPHOBIC OR HYDROPHILIC SURFACES
(Sudor)
Serial No. 10/720,532; filed November 24, 2003

Request for Refund (4 pages, including attachments)

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Frank C. Bisenschen
Frank C. Bisenschen, Ph.D., Patent Attorney

REQUEST FOR REFUND
Examining Group
Patent Application
Docket No. G-090US04DIV
Serial No. 10/720,532

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Rosie Yuh Loo Chang
Art Unit : 1762
Applicant : Jan Sudor
Serial No. : 10/720,532
Filed : November 24, 2003
Conf. No. : 4393
For : Surface Adsorbing Polymers and the Uses Thereof to Treat Hydrophobic or
Hydrophilic Surfaces

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

Applicants respectfully request a refund in the above-referenced patent application for the following reason:

On November 24, 2003, Applicants filed the subject application with a total of 27 claims (claim 1 was independent). Multiple dependent claims (claims 10 and 11) were presented at the time the subject application was filed and the undersigned's Deposit Account was charged a multiple dependent claim fee.

On March 5, 2007, Applicants electronically submitted an Amendment Under 37 C.F.R. § 1.111 in response to an Office Action mailed December 5, 2006 in the subject application. In their Amendment, Applicants canceled claims 4, 5, and 6 and added new claims 12-24; however, multiple dependent claims 10 and 11 were inadvertently not amended to delete reference to claims 4, 5, and 6.

JAG:ENP00.US04 DIV:PTO-Misc:Req refund.dnd/DNH/SL

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Docket No. G-090US0-1DIV
Serial No. 10/720,532

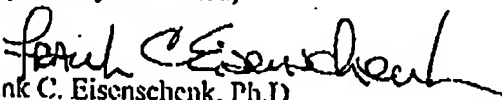
Applicants subsequently filed a Supplemental Amendment on March 28, 2007 to amend multiple dependent claims 10 and 11 to remove reference to claims 4, 5, and 6. Accordingly, 31 total claims are pending (with 1 independent claim).

On March 6, 2007, the undersigned's Deposit Account No. 19-0065 was charged \$50 (sequence number 982) for 1 claim in excess of 20 (fee code 1202) as authorized and \$450 (sequence number 2) for 9 claims in excess of 20 (fee code 1202) totaling \$500 (copies of the deposit account statement is attached). The attached "Patent Application Fee Determination Record" indicates that 37 claims were pending after amendment (dated March 5, 2007) with 27 claims previously paid for presenting 10 extra claims. Because claims 4, 5, and 6 were not pending at the time of Applicant's Amendment dated March 5, 2007, the undersigned respectfully asserts that only 31 claims were remaining after amendment (claims 1, 2, 3, 7, 8, 9, 10 (+5 extra for multiple dependent), 11 (+5 extra for multiple dependent), 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24). Therefore, the undersigned's Deposit Account should have only been charged \$200 (31 claims remaining after amendment with 27 claims previously paid for presenting 4 extra claims ($4 \times \$50 = \200)).

Applicants respectfully request that a refund in the amount of \$300.00 be credited to Applicants' undersigned representative's Deposit Account No. 19-0065 based on the following calculation based on large entity status:

\$500 - charged to Deposit Account No. 19-0065 (10 extra claims x \$50)
\$200 - should have been charged to Deposit Account No. 19-0065 (4 extra claims x \$50)
\$300 - total refund to be credited to Deposit Account No. 19-0065

Respectfully submitted,


Frank C. Eisenschenk, Ph.D.
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FCI/sj

Attachments: Copy of Deposit Account statements
Copy of Patent Application Fee Determination Record

J:\ENG90.US01 DIV10-Misc\Reg refund doc\DN1\st

PATENT APPLICATION FEE DETERMINATION RECORD
Effective December 8, 2004

10-720532

AMENDMENT	(Column 1) CLASSES REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	3/5/07	37	27
Independent	1	3	
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input checked="" type="checkbox"/>			

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 25=		OR	X\$50=	500
X100=		OR	X200=	
+180=		OR	+360=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	
		OR	300	

AMENDMENT	(Column 1) CLASSES REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total		
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 25=		OR	X\$50=	
X100=		OR	X200=	
+180=		OR	+360=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	
		OR		

AMENDMENT	(Column 1) CLASSES REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total		
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 25=		OR	X\$50=	
X100=		OR	X200=	
+180=		OR	+360=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	
		OR		

AMENDMENT	(Column 1) CLASSES REMAINING AFTER AMENDMENT	(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
	Total		
Independent			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDI- TIONAL FEE	OR	RATE	ADDI- TIONAL FEE
X\$ 25=		OR	X\$50=	
X100=		OR	X200=	
+180=		OR	+360=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	
		OR		

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Patent and
Trademark Office**

Requested
Statement Month: Mar-07

Deposit Account
Number: 100065
Name: SALIWANCHIK, LLOYD & SALIWANCHIK
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City: GAINESVILLE
State: FL
Zip: 32614
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NDR	FEE CODE	AMT
06-Mar	692	10720532	G-090US04DIV	1202	\$50.00
16-Mar	2	10720532	G-090US04DIV	1202	\$450.00

Document code: WFEE

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01 FC:1202 150.00 DA

Adjustment Date: 04/12/2007 SDIRETA1
03/16/2007 AFORD1 00000002 190065 10720532
01 FC:1202 450.00 CR

Electronic Acknowledgement Receipt

EFS ID:	1564746
Application Number:	10720532
International Application Number:	
Confirmation Number:	4393
Title of Invention:	Surface adsorbing polymers and the uses thereof to treat hydrophobic or hydrophilic surfaces
First Named Inventor/Applicant Name:	Jan Sudor
Customer Number:	23557
Filer:	Frank Christopher Eisenschenk/Sherry Loke
Filer Authorized By:	Frank Christopher Eisenschenk
Attorney Docket Number:	G-090US04DIV
Receipt Date:	05-MAR-2007
Filing Date:	24-NOV-2003
Time Stamp:	16:04:41
Application Type:	Utility

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$50
RAM confirmation Number	428
Deposit Account	190065
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (If appl.)
1		Amd.pdf	579980	yes	8

	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Claims		2	4	
	Applicant Arguments/Remarks Made in an Amendment		5	8	

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	8191	no	2
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Warnings:

Information:

Total Files Size (in bytes):			588171		
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.